

Quarterly Compliance Report on Corporate Governance

Name of the Company: Krishna Capital & Securities Limited

Quarter ending: 31/12/2014

Particulars	Clause of Listing agreement	Compliance Status Yes/No/N.A	Remarks
II. Board of Directors	49 (II)		
(A) Composition of Board	49 (IIA)	Yes	
(B) Independent Directors	49 (IIB)	Yes	
(C) Non-executive Directors' compensation & disclosures	49 (IIC)	Yes	
(D) Other provisions as to Board and Committees	49 (IID)	Yes	
(E) Code of Conduct	49 (IIE)	Yes	Kept on the Website of the Company and declaration will be complied in the annual report.
(F) Whistle Blower Policy	49 (IIF)	Yes	Adopted
III. Audit Committee	49 (III)		
(A) Qualified & Independent Audit Committee	49 (IIIA)	Yes	
(B) Meeting of Audit Committee	49 (IIIB)	Yes	
(C) Powers of Audit Committee	49 (IIIC)	Yes	
(D) Role of Audit Committee	49 (IIID)	Yes	
(E) Review of Information by Audit Committee	49 (IIIE)	Yes	
IV. Nomination and Remuneration Committee	49 (IV)	Yes	
V. Subsidiary Companies	49 (V)	N.A	
VI. Risk Management	49 (VI)	N.A	
VII. Related Party Transactions	49 (VII)	Yes	Adopted
VIII. Disclosures	49 (VIII)	Yes	
(A) Related party transactions	49 (VIIIA)	Yes	
(B) Disclosure of Accounting Treatment	49 (VIIIB)	Yes	
(C) Remuneration of Directors	49 (VIIIC)	Yes	Except for Managing Director of the Company. No remuneration was paid to any Director.
(D) Management	49 (VIIID)	Yes	Will be complied in the Annual Report

(E) Shareholders	49 (VIII E)	Yes	
(F) Proceeds from public issues, rights issue, preferential issues, etc.	49 (VIII F)	N.A	
IX. CEO / CFO Certification	49 (IX)	Yes	Will be complied in the Annual Report
X. Report on Corporate Governance	49 (X)	Yes	Will be complied in the Annual Report
XI. Compliance	49 (XI)	Yes	Will be complied in the Annual Report

Thanking you,

Yours faithfully,
For, **Krishna Capital & Securities Limited**

Payal Shastri
Payal Shastri
(Compliance Officer)



Date: 13/01/2015
Place: Ahmedabad